



Feasibility of Brachial Plexus Schwannoma Enucleation With Intraoperative Neuromonitoring

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Objectives. To evaluate the feasibility of brachial plexus schwannoma enucleation under intraoperative neuromonitoring.

Methods. Five patients who were treated for brachial plexus schwannoma under intraoperative neuromonitoring from 2008 to 2018 were included in this retrospective review. Neuromonitoring was performed with a 100- μ V event threshold of the neuromonitoring system (NIM-2 or 3) at the deltoid, biceps brachii, triceps brachii, and brachioradialis muscles. Patient characteristics, tumor size and location, intraoperative neuromonitoring findings, and postoperative function were evaluated.

Results. The intraoperative neuromonitoring findings were in accordance with the preoperative assessment of the included nerve root. Three patients had no postoperative morbidity, one patient had temporary paresthesia of the forearm for 2 months, and one patient mild loss of grip strength for 1 month.

Conclusion. Intraoperative neuromonitoring of the arm and forearm muscles during enucleation of brachial plexus schwannoma promoted confident and successful surgery with minimal postoperative morbidity.

Keywords: Brachial Plexus; Schwannoma; Neurilemmoma; Enucleation; Neuromonitoring; Feasibility Study

INTRODUCTION

Schwannoma is a benign neuroma composed of Schwann cells, which surround peripheral, cranial, and autonomic nerves. Schwannomas account for only 5% of all benign soft tissue tumors, but as many as 25% to 45% of schwannomas occur in the head and neck [1]. Due to the diversity of nerve function in the head and neck, the signs and symptoms of schwannoma in this region vary according to the nerve of origin and size of the tumor at presentation [2,3]. Because patients with schwannoma of the head and neck generally do not experience symptoms related to the function of the original nerve until the tumor reaches a cer-

tain size, the main reason for treatment of schwannoma in this region is a palpable neck mass. The preoperative diagnosis of schwannoma is challenging, as computed tomography (CT), magnetic resonance imaging (MRI), and ultrasound-guided fine needle aspiration all have relatively low accuracy [4,5]. Consequently, a definitive diagnosis is achieved only upon histologic inspection of excised tumor specimens [6].

Primary tumors of the brachial plexus are extremely rare, accounting for no more than 5% of all tumors in the upper extremities [7]. Schwannoma arising in the brachial plexus is always a challenge for the surgeon because of the neuroanatomical complexity of this area. Nonetheless, surgical resection is usually recommended, with enucleation being the preferred operative technique for functional and oncologic safety. Because of the intimate relationship of the tumor with the nerve of origin, it is often difficult to preserve the function of the nerve [8]. This prospect is a stressful one for both the patient and the surgeon, and considering the complexity of the brachial plexus and the potential for surgical morbidity, neuromonitoring may be highly advantageous for identification and preservation of the affected

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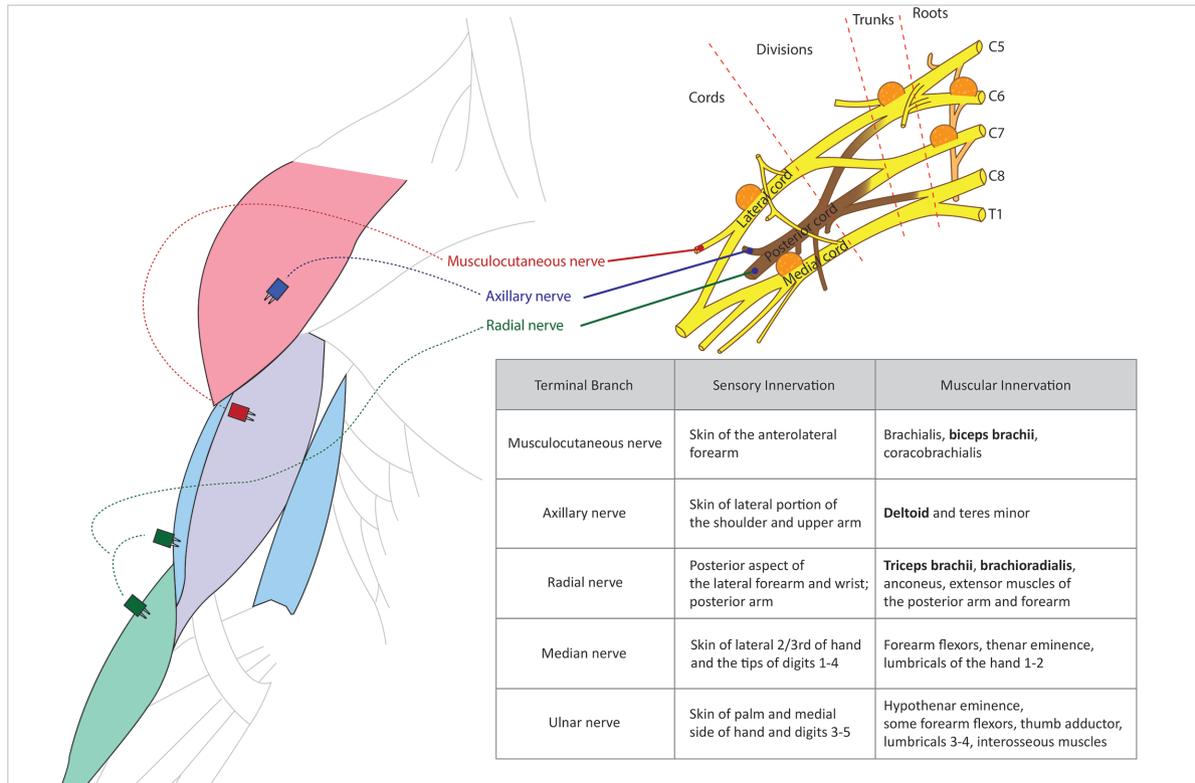


Fig. 1. Schematic diagram of the muscles to be monitored, their innervation, and the locations of the tumor in five cases. The table describes the innervation of the arm and the muscles controlled by each of the nerves.

nerve and the surrounding nerves. In this study, we aimed to descriptively analyze surgical outcomes and the feasibility of brachial plexus schwannoma removal under intraoperative neuromonitoring.

MATERIALS AND METHODS

Ethical considerations

This study was conducted in accordance with the principles of the Declaration of Helsinki. The study protocol was reviewed and approved by the Institutional Review Board of Seoul Na-

tional University Bundang Hospital (IRB No. 20-2018-42), and a waiver of informed consent was granted due to the retrospective design of the study.

Patients

Five patients who were treated for brachial plexus schwannoma under intraoperative neuromonitoring from 2008 to 2018 were included in this retrospective study. All patients were operated by single surgeon (YHJ) and age, sex, tumor size and location, preoperative symptoms, CT or MRI findings, intraoperative findings, and postoperative function were evaluated and descriptively analyzed to assess the feasibility of schwannoma enucleation under neuromonitoring.

Surgical technique and hospital course

Tumor location and corresponding nerves were assessed according to preoperative imaging findings. Surgery was performed by a transcervical approach with the patient under general anesthesia. Neuromonitoring was performed with a two-channel (one case) or four-channel (four cases) nerve integrity monitor (NIM-2 or -3, Medtronic, Memphis, TN, USA). The two-channel monitor (NIM-2) could be used to monitor two muscles. When using the four-channel device (NIM-3), electrodes were placed in the muscles of upper arm and forearm, including deltoid, biceps brachii, triceps brachii, and brachioradialis (Fig. 1) and patients

HIGHLIGHTS

- This study aimed to evaluate the feasibility of brachial plexus schwannoma enucleation under intraoperative neuromonitoring.
- Intraoperative neuromonitoring of the arm and forearm muscles during enucleation of brachial plexus schwannoma promoted confident and successful surgery with minimal postoperative morbidity.
- This is the first study to elucidate the benefits of applying intraoperative neuromonitoring in brachial schwannoma enucleation.

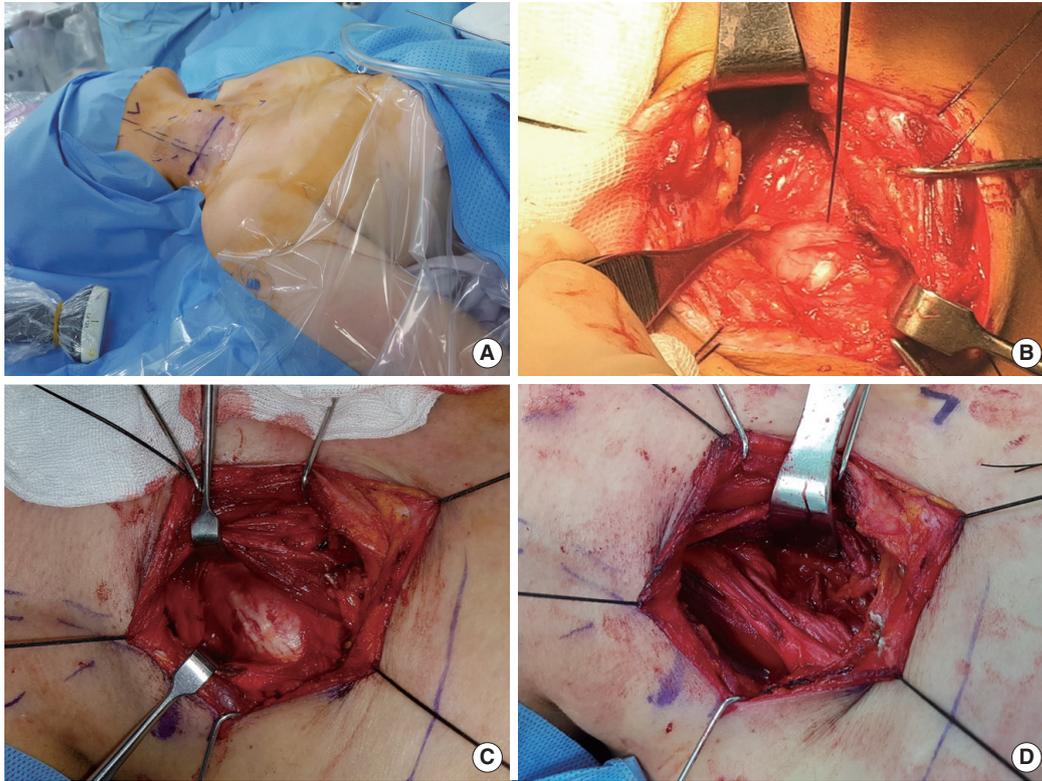


Fig. 2. Surgical technique. Exposure of the shoulder and arm is essential because muscle twitching can be observed during nerve stimulation (A). Neuromonitoring was performed with a two-channel (one case) or four-channel (four cases) nerve integrity monitor (NIM-2 or -3, Medtronic, Memphis, TN, USA) and a unipolar stimulating probe was used to stimulate the tumor or the nerves before identification and enucleation of the mass (B, C). After enucleation of the tumor, stimulation of the nerve was performed to confirm neural integrity (D).

underwent continuous electromyography (EMG) during the surgery. Position of electrodes can be changed according to the location of mass. Neck, shoulder, and arm were exposed (without skin preparation with antiseptics) to observe muscle twitching during the operation (Fig. 2A). In addition, a unipolar stimulating probe was used to stimulate the tumor or the nerves before enucleation of the mass, and, with the aim of minimizing any postoperative functional deficit, enucleation was started only after the monitored muscles showed no response to stimulation of the mass up to a level of 1.0 mA (Fig. 2B and C). In this manner, the surgeon was able to locate, identify, and map particular nerves and branches, and to decide the extent of enucleation. Nerve function and integrity after enucleation could also be checked (Fig. 2D). After the mass was excised, the surgical bed was irrigated with normal saline and a negative-pressure drain was inserted. Patients were discharged on the second day after removal of the drain.

RESULTS

The five cases analyzed are summarized in Table 1. Four male and one female patients were included. The chief complaint of

all patients was a neck mass. All patients underwent surgery using the technique described above (Supplementary Figs. 1-5), and no patients had neurologic symptoms associated with the brachial plexus. The size of the tumor in cases 1-5 was 2, 1.6, 1.8, 6, and 5.5 cm, respectively. The tumor originated from the cord in two patients, the trunk in one patient, and the root in two patients. The two tumors originating from the root were larger than the others.

With neuromonitoring, one patient (case 1) did not show a response in the monitored muscles upon stimulation of the tumor. Cases 2 and 3 showed a response in the biceps brachii muscle, case 4 showed a response in the triceps brachii muscle, and case 5 showed responses in the diaphragm, biceps brachii, and triceps brachii muscles. Two patients experienced a temporary neurologic deficit: case 1 with mild motor dysfunction on grasping, which recovered in 1 month, and case 4 with sensory dysfunction of the forearm, which recovered in 2 months.

DISCUSSION

The brachial plexus is a nerve plexus formed by the anterior rami of the lower four cervical nerves and the first thoracic

Table 1. Summary of five cases

| Case | Sex | Age (yr) | Preoperative finding | Origin | Muscles monitored | Muscles stimulated around the tumor | Postoperative outcome |
|------|--------|----------|--------------------------------|-----------------------|---|--|--|
| 1 | Male | 62 | Neck mass, neck pain | Medial cord (C8) | Biceps brachii, brachioradialis | - | Mild motor dysfunction on grasping for 1 month |
| 2 | Male | 59 | Neck mass | Upper trunk (C5/6) | Deltoid, biceps brachii, triceps brachii, and brachioradialis | Biceps brachii | No neurologic deficit |
| 3 | Male | 53 | Neck mass | Lateral cord (C5/6/7) | Deltoid, biceps brachii, triceps brachii, and brachioradialis | Biceps brachii | No neurologic deficit |
| 4 | Female | 48 | Neck mass compressing the lung | C7 root | Deltoid, biceps brachii, triceps brachii, and brachioradialis | Triceps brachii | Temporary paresthesia of forearm for 2 months |
| 5 | Male | 56 | Neck mass | C6 root | Deltoid, biceps brachii, triceps brachii, and brachioradialis | Diaphragm, biceps brachii, and triceps brachii | No neurologic deficit |

nerve. It is responsible for the motor innervation of the muscles of the upper extremity, and it can be divided into roots, trunks, divisions, cords, and branches (Fig. 1). The terminal branches of the brachial plexus are the musculocutaneous nerve, the axillary nerve, the radial nerve, the median nerve, and the ulnar nerve. The musculocutaneous nerve innervates the biceps brachii, brachialis, and coracobrachialis; the axillary nerve innervates the deltoid and teres minor; and the radial nerve innervates the triceps brachii, brachioradialis, anconeus, and the extensor muscles of the posterior arm and forearm.

Injury to the brachial plexus can result in severe motor and sensory dysfunction, with correspondingly diminished quality of life. Numerous studies conducted over the course of almost 50 years have described treatment outcomes and therapeutic approaches in patients with tumors of the brachial plexus, and postoperative functional deficits have been reported in 16% to 50% of cases [9,10]. Although there was a small number of patients in our study, none experienced permanent neurologic deficits after surgery. The rate of temporary postoperative neurologic dysfunction was 40% (2 of 5; 1 each of motor and sensory dysfunction) (Table 1). Both of those patients reported minimal discomfort, and both recovered within 2 months.

Enucleation is an important procedure for preserving nerve function in the brachial plexus, and enucleation of schwannoma in the brachial plexus has been reported previously [11-13]. Surgical outcomes of enucleation of brachial schwannoma have been reported, and the risk of complete nerve injury was about 10%–20%. Lee et al. [14] reported that 15% of patients had sensory changes and 10% had motor deficits immediately postoperatively; however, their deficits had improved at the last follow-up. Kehoe et al. [10], Ganju et al. [9], and Huang et al. [15] also reported postoperative problems in 17%, 22%, and 22% of patients, respectively. However, intracapsular incision and enucleation can be difficult at times, and extracapsular excision can damage the normal fascicles during dissection of the capsule. We managed this challenge by using intraoperative neuromonitoring and by making a longitudinal incision on the tumor capsule where there were fewer neural fascicles. We used the

NIM device to assess neurologic function before and after removing the tumor in order to differentiate functional and non-functional tissues. When intraoperative EMG confirmed that an entrapped fascicle was nonfunctional, the fascicle could be resected with the tumor. In contrast, small pieces of tumor that were tightly adhered to a functional fascicle could be left unressected.

Intraoperative neuromonitoring is now widely used in head and neck surgical procedures, including mastoidectomy, parotidectomy, and thyroidectomy [16-18]. The technique provides benefits throughout the procedure by facilitating identification of the nerve, minimizing trauma to the nerve during dissection, and allowing final confirmation of neural integrity at the end of surgery. Our study demonstrated that intraoperative neuromonitoring during the enucleation of brachial plexus schwannoma is feasible and may be beneficial for the preservation of nerve function.

Intraoperative neuromonitoring enables the surgeon, especially the novice, to be confident during a procedure around a nerve. In procedures in which the identification of the nerve is paramount, such as thyroidectomy and parotidectomy, monitoring is essential, and although some controversy remains, intraoperative neuromonitoring may also be beneficial for bulky tumors and revision surgery, where dissection and direct visualization alone may prove insufficient. Moreover, intraoperative neuromonitoring has been associated with shorter operation times and higher levels of patient satisfaction [19,20]. A recent survey indicated that the most common reasons for using intraoperative neuromonitoring were to help identify the nerve, followed by medicolegal concerns and increased safety [21]. However, in enucleation of brachial plexus schwannoma, we think that the major advantage of intraoperative neuromonitoring is not nerve identification, because the mass can easily be found before identification of the brachial plexus. Instead, intraoperative neuromonitoring in this procedure is most beneficial for dissection around the nerves.

In thyroidectomy, the association of intraoperative neuromonitoring and the occurrence of postoperative vocal cord paralysis

is significant because infrequent institutional use of intraoperative neuromonitoring has been correlated with higher rates of vocal cord paralysis [22]. In addition, better results of detailed voice parameters have been reported after surgery performed under intraoperative neuromonitoring [23]. The NIM system emits an audible signal and shows an EMG signal change even under subtle stimulation, such as traction or heating, that can cause nerve damage, which may be useful to prevent even minor trauma to the nerves. In most circumstances, as in our study, surgeons can halt the procedure to minimize the risk of injury to the relevant nerves when the NIM system indicates impending trauma and then resume the operation after confirming the safety of the nerve. We recommend draping the entire arm during surgery involving the brachial plexus to allow direct visualization of muscle stimulation (Fig. 2).

Despite its advantages in terms of early postoperative functional outcomes, most studies of intraoperative neuromonitoring lack long-term outcomes. A systematic review of parotidectomy revealed that intraoperative neuromonitoring decreased the risk of immediate postoperative facial nerve weakness, but did not appear to influence the final outcome of permanent facial nerve weakness [16]. Intraoperative neuromonitoring provides real-time feedback to reduce the occurrence of blunt trauma to the facial nerve and its branches, which may occur as a result of nerve manipulation, dissection, electrocautery, and instrumentation. By doing so, monitoring makes it more likely that nerves will recover from partial injury, but it should not increase the already high yield of identifying the trunk of the nerve and its major branches; therefore, monitoring should not be necessary to prevent complete transection of the nerve.

Although our study has the limitations of a small number of enrolled patients and a lack of sensory monitoring, it is the first to report the feasibility of intraoperative neuromonitoring during enucleation of brachial plexus schwannoma. Intraoperative neuromonitoring may help to reduce the risk of an early postoperative functional deficit. A further study with a larger cohort may clarify the advantages of intraoperative neuromonitoring in the surgical treatment of patients with brachial plexus schwannoma.

CONFLICT OF INTEREST

No potential conflict of interest relevant to this article was reported.

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AUTHOR CONTRIBUTIONS

Conceptualization: YHJ. Data curation: JYC, DYL. Formal analysis: JYC, DYL, YHJ. Methodology: JS, SH, MHL. Visualization: DYL, JYC, JS, YHJ. Writing original draft: DYL, JYC, YHJ. Writing review & editing: WJJ, YHJ.

SUPPLEMENTARY MATERIALS

Supplementary materials can be found via <https://doi.org/10.21053/ceo.2019.01207>.

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